# Agenda Item #2



# STATE OF MAINE COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES 135 STATE HOUSE STATION AUGUSTA, MAINE 04333-0135

To: Commissioners

From: Jonathan Wayne, Executive Director

Date: October 25, 2010

Re: Request by Maine Republican Party concerning Robert Sezak

The Maine Republican Party has filed a request, alleging that House candidate Robert Sezak has used his bookstore to benefit his campaign. The candidate owns Re-Books, a used bookstore in Waterville, Maine. The request states that "Mr. Sezak is using discounts from purchases at his business 'for the purpose of influencing' his election to state office."

The party complains through its counsel Dan Billings that on Wednesday, October 20<sup>th</sup>, Mr. Sezak left his business card with a campaign brochure at the home of a resident of Troy, Maine. Mr. Billings does not identify the name of the occupant of the home. On Thursday, October 21<sup>st</sup>, the Maine Republican Party filed its request with the Commission. On Friday, October 22, there was a front-page article in the Waterville Morning Sentinel (attached).

Mr. Sezak telephoned me right away on October 22. He explained that he had not been systematically distributing the business card, but had given it out on two occasions. He stated that in neither instance did the customer obtain any discount on books from his store. He believes that the name of the Troy household was Kelleher.

Mr. Sezak also said that he is using his personal funds to pay for his campaign travel and has not used Maine Clean Election Act funds to reimburse himself for travel. That is consistent with the disclosures in his campaign finance reports.

Later on October 22, 2010, Mr. Sezak filed a written response. He says that he was not using his store to influence voters on either occasion.

Overall, I found Mr. Sezak's account to be believable. If his bookstore has not provided any discount to the two voters who received his business card, I do not see how his bookstore has made a contribution to his campaign. I recommend taking no action on the request. After speaking to the candidate, I am sure he will not be combining business and politics during any future campaign trips.

PHONE: (207) 287-4179 FAX: (207) 287-6775

#### MARDEN, DUBORD, BERNIER & STEVENS

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October 21, 2010

Jonathan Wayne, Executive Director Maine Commission on Governmental Ethics & Election Practices 135 State House Station Augusta, Maine 04333-0135

RE: Complaint against Robert Sezak, Candidate for the Maine House in House District #84

Dear Mr. Wayne:

On behalf of the Maine Republican Party, I request that the Commission take action against Robert Sezak due to a serious violation of the Maine Clean Elections Act. Mr. Sezak is the Democratic candidate for the Maine House of Representative in House District #84. House District #84 is made up of Fairfield, Rome, and Smithfield. Mr. Sezak is a Maine Clean Elections Act ("MCEA") candidate.

On October 20, 2010, a resident of the Town of Rome arrived home and found placed on his door a campaign brochure for Mr. Sezak. Inside the brochure was a business card for Mr. Sezak's business Re-Books. Handwritten on the back of the card was the following: "Present this Card for 20% off your next purchase." A copy of the card is enclosed.

21-A M.R.S.A. §1125(6) states that an MCEA candidate "must limit the candidate's campaign expenditures and obligations, including outstanding obligations, to the revenues distributed to the candidate from the fund and may not accept any contributions unless specifically authorized by the commission." The definitions of contribution contained in 21-A M.R.S.A. §1012(2) includes "[a] gift, subscription, loan, advance or deposit of money or anything of value made for the purpose of influencing the nomination or election of any person to state, county or municipal office."

Mr. Sezak is using discounts from purchases at his business "for the purpose of influencing" his election to state office. The discounts are a thing of value. This activity constitutes both a contribution to his campaign and an expenditure on behalf of his campaign. Mr. Sezak's use of funds from his business to benefit his campaign constitutes a violation of the terms of his participation as an MCEA candidate. 21-A M.R.S.A. §1125(5-A) states "[t]he certification of a participating (MCEA) candidate may be revoked at any time if the commission determines that the candidate or an agent of the candidate . . . [k]nowingly accepted any contributions, including any in-kind contributions, or used funds other than fund

Jonathan Wayne, Executive Director October 21, 2010 Page 2

revenues distributed under this chapter to make campaign-related expenditures without the permission of the commission."

In addition, if Mr. Sezak is reimbursing himself for mileage from his campaign account for trips on which he distributes his business card, he may be misusing MCEA funds by promoting his business with public funds.

21-A M.R.S.A. §1002(1) requires that agenda items in the 28 days preceding an election must be decided within 24 hours of the filing unless all parties involved agree otherwise. Because the Commission met yesterday and is scheduled to meet again on October 28<sup>th</sup>, I am not demanding that this matter be considered within 24 hours. However, I ask that this item be placed on the agenda for the next Commission meeting, including any special meeting held before October 28<sup>th</sup>, and ask that the Commission staff engage in sufficient preliminary fact finding before the next Commission meeting to allow the Commission to consider this matter before the election. Thank you.

Daniel I. Billing

Though not within the Commission's jurisdiction, Mr. Sezak's conduct may also constitute bribery in official political matters under Maine law. 17-A M.R.S.A. §602 states "[a] person is guilty of bribery in official and political matters if . . . [h]e promises, offers, or gives any pecuniary benefit to another with the intention of influencing the other's action, decision, opinion, recommendation, vote, nomination or other exercise of discretion as a . . . voter." "Pecuniary benefit" means any advantage in the form of money, property, commercial interest or anything else, the primary significance of which is economic gain. 17-A M.R.S.A §602(2)(C). Bribery in official and political matters is a Class C crime punishable by a period of imprisonment of up to 5 years and a fine of up to \$5,000.

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**RE-BOOKS** 

Books For All Appetites



Hardcover & Paperback Used, Rare, Out-of-Print

ROBERT SEZAK
Proprietor
25 E. Concourse
Waterville, ME 04901
207-877-2484
rebooks 3 @gmeil.com

Robert Sezak 18 Bunker Ave. Fairfield, Maine 04937

Jonathan Wayne, Executive Director Maine Governmental Ethics and Election Practices 135 State House Station Augusta, Maine 04333-0135

October 22, 2010

Dear Mr. Wayne, Executive Director:

I am responding to the complaint brought against me by Daniel I. Billings, Esq., on behalf of the Maine Republican Party.

I have not been handing out discounts at my store to win votes. In fact, I have only done so twice on the campaign trail under the following special circumstances.

In the first instance, the reason I left the card was due to a beautiful hall bookcase library which could be viewed from the entrance doorway of a home I stopped at. Simply put, as a book seller for almost 17 years, I had felt a connection with a fellow book lover, although I have never met the person.

The second instance involved a customer whom I hadn't seen in a while. We eventually fell into conversation about her daughter's joy of reading biographies, with her daughter there jumping up and down, exclaiming how she loved to read. An already established customer, I asked her (the mother) if she had heard of a particular series of children's books. When she said she had not, I suggested her daughter would enjoy them and presented her a card with a discount.

In none of these instances was I "using discounts from purchases at my business 'for the purpose of influencing' my election to office." Nor am I "distributing business cards" using MCEA funds.

On the matter of mileage, I am not keeping a log of travel miles, as I have no intention of reimbursing myself for mileage using MCEA campaign funds.

When a reporter from the Morning Sentinel called to interview me shortly after receiving a copy of the complaint, one of the questions he asked was if my campaign brochure was legal. I responded that I didn't believe the brochure is in violation of the clean election laws. I reviewed my brochure and found that the contact number provided is my store's telephone number. There is no mention of my business on the brochure. The use of my business phone number is for ease of accessibility. It is my understanding that this is an allowed use of business equipment.

Handing out business cards is not a campaign practice. The reason I go door-to-door is to hear from the people in the district and to learn their concerns. My focus is on understanding the issues that affect the people of Maine and my district so that I may be a well-informed legislator.

Sincerely,

Robert Sezak, Candidate House District #84



#### Snowe in Augusta

The U.S. senator visits to hear about changes in the way businesses provide health insurance to their workers. B1



#### 'Her Game'

A documentary about Cindy Blodgett shows locally Saturday, and the UMaine coach will bring her team to watch it. C1



# Horning Sentine

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A MAINETODAY MEDIA NEWSPAPER | Copyright 2010 | Waterville, Mai

THEY WERE JUST WONDERFUL PEOPLE

## le remembered as pillars of community

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DGERS

ly husband and dent Wednesday hase who knew

Henry and Priscilla Bacon, both 90 "They were the type of years old, died after colliding with another car near the enuance of a Big people that everybody

another car near the entrance of a Big Apple Store on Route 139 in Fairfield. "They were some of the most our-standing (people) in the town of. Sidney," said Lawrence Tibbetts, who belonged to the local Masonic lodge, with Henry Bacon. Priscilla Bacon was a member of the

Order of the Eastern Star, he said:

people that everybody would want for neighbors."

> BEV WESCOTT SIDNEY

town," said Tibbetts.

Don and Bev Wescott, neighbors of the Bacons on Pond Road, said the cou-"They belonged to just about every the Bacons on Pond Road, said the con-organization in town that benefitted the

each other.
"Where one was, there was the other,
it seemed," said Bev Wescott. "They
were the type of people that everybody
would want for neighbors."
She said Henry Bacon, a lifelong

Sidney resident, was an avid skier who went every chance he could get up until

the past year or two.

The couple would spend most of the winter at their house in Kingfield, she said, where Priscilla Bacon grew up. They met at the University of Maine,

Ray Philbrick, who served on several local committees with Henry Bacon, a

former town selectman, described him as a kind and intelligent man.
"He always worked for the town's benefit," Philbrick said.
Tibbetts said Bacon was among a group of men who started the first Boy Scott treep in town.

Scout troop in town. "They were just wonderful people,"

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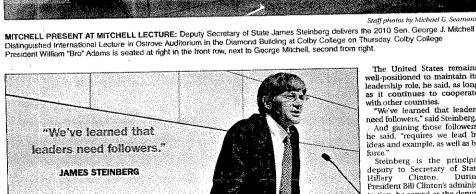
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iternational itegy for the berg began reluctant to



take global leadership roles or even to get involved in interna-tional affairs.

But following World War II, America not only embraced its position as a global superpower, but also realized that being an effective leader relied on investing in the welfare of other coun-

"They believed that by help ing others succeed, they would foster our own interest," he said. America's leaders today still

practice that kind of leadership, as they tackle the challenges that face the nation and the world, including the threat of nuclear warfare, climate change and conflicts in Afghanistan and the Middle East

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Staff photos by Michael G. Seamans

The United States remains well-positioned to maintain its leadership role, he said, as long as it continues to cooperate with other countries

with other countries.
"We've learned that leaders need followers," said Steinberg.
And gaining those followers, he said, "requires we lead by ideas and example, as well as by

Steinberg is the principal deputy to Secretary of State Hillary Clinton. During President Bill Clinton's adminis ration, he served as the deputy national security adviser. Between those posts, Steinberg was the dean of the Lyndon B. Johnson School of Public Affairs at the University of Texas. He was also the vice president and director of foreign policy at the Brookings Ins Washington, D.C. Institution

Leslie Bridgers --- 861-9252 lbridgers@centralmaine.com HOUSE DISTRICT 84

### **GOP** files complaint against Sezak

Fairfield Democrat's bookstore offer a 'serious violation.' Republicans claim

> BY SCOTT MONROE Staff Writer

The Maine Republican Party on Thursday filed an ethics complaint against a local Democratic House candidate, claiming a "serious violation" of the state's Clean Elections Act.



SEZAK

didate under the act, violated state law by including discount offer to his book-store inside a

campaign brochure left at local homes, the complaint alleges. Sezak owns the store Re-Books in Waterville.

in Waterville.

The complaint, filed by Waterville attorney Daniel Billings on behalf of the Republican party requests that the Maine Commission on Governmental Ethics and Election Practices determine whether Sezak's public funding should be revoked.

Contacted late Thursday, Sezak said he did not know about the complaint.

about the complaint.

"I need to talk to the ethics commission," Sezak said. "I haven't heard anything from the ethics commission, so I really don't have any thoughts about it.

PLEASE SEE SEZAK A2

don't believe the brochure is in violation of the clean elections law."

Sezak is the Democratic candidate running for the seat are Republican John Picchiotti, and independents for House District 84, which includes Fairfield, Rome and Smithfield, Also Aaron Rowden and Paul Tessier.

Picchiotti, contacted late Thursday,

said he also knew nothing about the complaint or its allegations.

town and serve on committees," Picchiotti said. 'It's probably something "I know Robert very well; we're all in he's totally unaware of. He wouldn't do anything intentionally."

dent of Rome arrived home on-Oct. 20 and found Sezak's campaign brochure According to the complaint, a resiin his door,

"Inside the brochure was a business card for Mr. Sezak's business Re-Books," the complaint states. "Handwritten on the back of the card was the following:

Present this card for 20% off your next

law under the Clean Elections Act, which says candidates "must limit .... tions, to the revenues distributed to the The complaint goes onto to cite state campaign expenditures and obligations, including outstanding obligaadvance or deposit of money or any-thing of value made for the purpose of candidate from the fund and may not accept any contributions unless specifdefinition of a "contribution" includes a "gift, subscription, loan, ically authorized by the commission. ne

influencing the nomination or election of any person" to office.

counts are a thing of value. This activity constitutes both a contribution to his campaign and an expenditure on "Mr. Sezak is using discounts from pose of influencing' his election to state office," the complaint states. "The dispurchases at his business for the pur-"The discampaign and an expenditure

The complaint clies state law that says the candidate's public financing may be revoked if the ethics commission determines the candidate 'knowingly" accepted such contributions. behalf of his campaign,"

tributes his business card, he may be ing himself for mileage from his campaign account for trips on which he dis-"In addition, if Mr. Sezak is reimbursmisusing (clean election) funds by promoting his business with public funds," the complaint states.

The ethics commission is scheduled to meet next on Oct. 28.

commission staff engage in sufficient preliminary fact-finding before the next commission meeting to allow the commission to consider this matter before The complaint requests that the election."

# LOTTERES

Thursday, Oct. 21, 2010

Pick 3: 8-2-0 Pick 4: 5-4-0-4 EVENING DRAWING

Pick 3: 3-9-9 Pick 4: 8-7-1-0 DAY DRAWING

RI-STATE MEGABUCKS 6-12-21-26-33; Megaball: 5 PLUS (Wednesday)

HOT LOTTO SIZZLER (Wednesday)

I-18-20-21-34 Hot Ball: 11

POWERBALL (Wednesday) Powerball: 17 Power Play: x 3 7-17-20-39-59

# Hootie & The Blowfish

COLUMBIA, S.C. (AP) — The city that helped give Hoorie & The Blowfish its start is celebrating the band's 25th anniversary with a permanent memorial.

A group of businesses in one

Columbia's main entertainment areas plans to unveil a per-

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manent piece of public art to honor the band on Thursday. The Five Points Association also plans a Hootie & The Blowfish tribute concert,

bers of the band to return to Officials expect all four mem-Columbia.

CORRECTIONS

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